#### Partition Functions: Zeros and efficient approximation III

Viresh Patel and Guus Regts

## Summerschool on Algorithms, Dynamics, and Information Flow in Networks, Dortmund

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In the previous two lectures: Absence of zeros for partition functions  $\Rightarrow$  efficient approximation algorithms.

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This and the next lecture: What about presence of zeros?

## The matching polynomial

The matching polynomial of a graph G = (V, E) is defined as

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#### Theorem (Heilmann and Lieb, 1972)

Let  $\Delta \geq 3$ . Then for any graph  $G \in \mathcal{G}_{\Delta}$ , and any  $z \notin (-\infty, -\frac{1}{4(\Delta-1)})$ ,  $M_G(z) \neq 0$  and this is tight.

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Theorem (Bezáková, Galanis, Goldberg Štefankovič, 2021) Let  $\Delta \ge 3$  and let  $z < -\frac{1}{4(\Delta-1)}$  be rational. Approximating the absolute value of  $M_G(z)$  for  $G \in \mathcal{G}_{\Delta}$  is #P-hard.

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## The partition function of the ferromagnetic Ising model

The partition function of the ferromagnetic Ising model of a graph G = (V, E) is defined as

$$Z_G(\lambda, b) = \sum_{S \subseteq V} \lambda^{|S|} b^{e(S, V \setminus S)}$$

 $e(S, V \setminus S)$  denotes the number of edges across the cut defined by S.

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#### Theorem (Peters, R., 2020)

Let  $b \in (0, 1)$ . Then there exists  $\Delta_b > 0$  such that the roots  $Z_G(\lambda, b)$  as a polynomial in  $\lambda$  as G ranges over  $\mathcal{G}_{\Delta_b}$  are dense in the unit circle.

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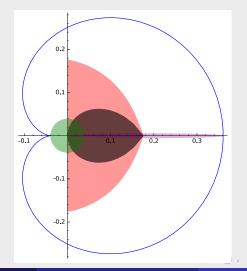
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Theorem (Buys, Galanis, Patel, R., 2022) Let  $b \in (0,1) \cap \mathbb{Q}$ . Let  $\lambda \in \mathbb{Q}[i] \setminus \mathbb{R}$  such that  $|\lambda| = 1$ . Approximating the absolute value of  $Z_G(\lambda, b)$  for  $G \in \mathcal{G}_{\Delta_b}$  is #P-hard.

## The independence polynomial on $\mathbb C$



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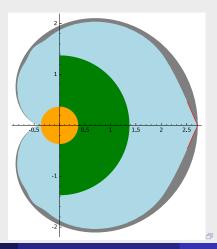
$$\begin{aligned} \mathcal{Z}_{\Delta} := & \{\lambda \in \mathbb{C} \mid Z(G; \lambda) = 0 \text{ for some } G \in \mathcal{G}_{\Delta} \} \\ \mathcal{P}_{\Delta} := & \{\lambda \in \mathbb{Q}[i] \mid \text{ approximating } |Z(G; \lambda)| \text{ is } \#\text{P-hard on } \mathcal{G}_{\Delta} \} \end{aligned}$$

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Theorem (de Boer, Buys, Guerini, Peters, R. 2021+) Let  $\Delta \geq 3$ . The closure of  $\mathcal{Z}_{\Delta}$  is contained in the closure of  $\mathcal{P}_{\Delta}$ .

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## State of the art for the independence polynomial as $\Delta \rightarrow \infty$



Ingredients for 'zeros implies hardness' for the independence polynomial on  $\mathbb{C}.$ 

- Why is approximating as hard as exact computing?
- What do the complex zeros have to do with this?

#### Let $\lambda \in \mathbb{Q}[i]$ and $\Delta \geq 4$ .

Name #Hardcorenorm $(\lambda, \Delta)$ . Instance A graph  $G \in \mathcal{G}_{\Delta}$ . Output If  $Z_G(\lambda) = 0$  the algorithm may output any rational number; otherwise the algorithm must output a rational number N such that

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We will show that for certain  $\lambda$ 's, having acces to an algorithm that solves #Hardcorenorm $(\lambda, \Delta)$  in polynomial time, we can compute  $Z_G(\lambda)$  exactly in time polynomial in |V(G)| for graphs  $G \in \mathcal{G}_3$ .

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#### Lemma

Suppose we have acces to an algorithm that on input of a graph  $G \in \mathcal{G}_3$  outputs numbers  $r \in \mathbb{Q}[i]$  and  $b \in \{0, 1\}$  in time polynomial in |V(G)| such that

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• if 
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Then  $Z_G(\mu)$  can be computed in time polynomial in |V(G)|.

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Let (G, v) be a rooted graph. The ratio  $R_{G,v}$  is the rational function

$$\lambda \mapsto \frac{Z_{\mathcal{G}}^{\nu \text{ in}}(\lambda)}{Z_{\mathcal{G}}^{\nu \text{ out}}(\lambda)} = \frac{\lambda Z_{\mathcal{G} \setminus \mathcal{N}[\nu]}(\lambda)}{Z_{\mathcal{G} - \nu}(\lambda)}.$$

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It thus suffices to be able to compute  $R_{G,v}$  in the previous lemma.

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Given  $(G, v) \in \mathcal{G}_3$  and (T, u) as above make a new graph  $G(T) \in \mathcal{G}_\Delta$  by identifying u with v.

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$$Z_{G(T)}(\mu) \cong Z_{T-u}(\mu) \left( Z_{G-v}(\mu) + \frac{y}{Z_{G\setminus N[v]}}(\mu) \right).$$

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Using an algorithm for #Hardcorenorm( $\mu$ ,  $\Delta$ ) we can compute an  $\eta$ -approximation  $\hat{f}(y)$  to |f(y)| (recall  $\eta = 1.001$ )

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Let  $\lambda = x + iy \in \mathbb{Q}[i]$  be fixed. Let G = (V, E) be an *n*-vertex graph. Then

- $|Z_G(\lambda)| \le 2^{O(n)}$ ,
- the bit size of the number  $Z_G(\lambda)$  is O(n),
- if  $Z_G(\lambda) \neq 0$ , then  $|Z_G(\lambda)| > 2^{-O(n)}$ .

Assume  $A \neq 0$ . Denote  $y^* = -B/A$ . Note that since  $\mu \in \mathbb{Q}[i]$  we must have that  $y^*$  is contained in some square box S of diameter  $D = 2^{O(|V(G)|)}$  with center m.

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Let 
$$y_1 = m - 4\eta D$$
  $y_2 = m + 4\eta D$ . Then  
 $\hat{f}(y_1) - \hat{f}(y_2) \ge |A| (|y_1 - y^*| - |y_2 - y^*| - 2\eta D)$ 

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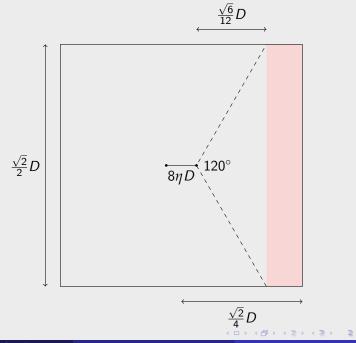
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Suppose now that  $\hat{f}(y_1) \leq \hat{f}(y_2)$ . Then  $y^*$  cannot be contained in the cone of 120° centered at  $y_2$  pointing towards  $y_1$ .

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• If  $A \neq 0$ , then for any  $y \in S' \setminus \{y^*\}$ ,  $\hat{f}(y) \leq (1+\eta)|A|D'$ . • If A = 0 and  $B \neq 0$ , then for any  $y \in S'$ ,  $\hat{f}(y) \geq (1+\eta)|B|$ .

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If A ≠ 0, then for any y ∈ S' \ {y\*}, f(y) ≤ (1+η)|A|D'.
If A = 0 and B ≠ 0, then for any y ∈ S', f(y) ≥ (1+η)|B|.
Since |B| = Ω(2<sup>-|V(G)|</sup>) and |A| = O(2<sup>|V(G)|</sup>), we can thus determine if A ≠ 0 or not by computing f(y) for two values y ∈ S'.

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• If  $A \neq 0$ , then for any  $y \in S' \setminus \{y^*\}$ ,  $\hat{f}(y) \leq (1+\eta)|A|D'$ .

• If A = 0 and  $B \neq 0$ , then for any  $y \in S'$ ,  $\hat{f}(y) \ge (1 + \eta)|B|$ . Since  $|B| = \Omega(2^{-|V(G)|})$  and  $|A| = O(2^{|V(G)|})$ , we can thus determine if  $A \neq 0$  or not by computing  $\hat{f}(y)$  for two values  $y \in S'$ .

 If A ≠ 0 (and thus y\* is contained in the initial box), we can determine y\* exactly (being the unique complex number with rational coordinates whose denominators are bounded by 2<sup>O(|V(G)|)</sup>.)

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#### (Summary)

- Use 'box shrinking' to compute ratios  $R_{G,v}$  exactly.
- Use ratios to compute  $Z_H(\mu)$  exactly with telescoping product.
- Be careful when and where to 'trust' the algorithm.



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